

Request for Continued Examination (RCE) Transmittal

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| | |
|------------------------|--------------------|
| Application Number | 09/964,995 |
| Filing Date | September 27, 2001 |
| First Named Inventor | OGAWA, Kazuo |
| Art Unit | 2811 |
| Examiner Name | Tran, Thien F. |
| Attorney Docket Number | N29748500S |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 50-2087
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other Any fees that may be required
- b. ☒ Check in the amount of \$ 770.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|----------------------|-----------------------------------|-------------------------|
| Name (Print/Type) | <u>Darryl Walker</u> | Registration No. (Attorney/Agent) | <u>43,232</u> |
| Signature | <u>Darryl Walker</u> | Date | <u>January 28, 2004</u> |

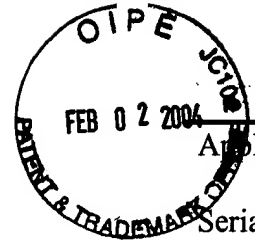
CERTIFICATE OF MAILING OR TRANSMISSION

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| | |
|-------------------|-------------------------|
| Name (Print/Type) | <u>Darryl Walker</u> |
| Signature | <u>Darryl Walker</u> |
| Date | <u>January 28, 2004</u> |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ogawa, Kazuo

Serial No.: 09/964,995

Filed: 09/27/2001

Title: Semiconductor Device and
Manufacturing Method Therefor

Attorney Docket No.: N29748500S

Group Art Unit: 2811

Examiner: Tran, T.

AMENDMENT SUBMISSION WITH
REQUEST FOR CONTINUED EXAMINATION (R.C.E.)
(37 C.F.R. §1.114)

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P.O. Box 1450
Alexandria, VA 22313

A. Introductory Comments

The following Amendment is a submission under 37 C.F.R. §1.114 accompanying a Request for Continued Examination (R.C.E.) filed herewith.

37 C.F.R. §1.8

I hereby certify that this correspondence is being

☐ transmitted via facsimile to the United States Patent and Trademark Office to fax number: _____
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Date of Deposit: _____ January 28, 2004 _____

Typed/Printed Name: _____ Darryl Walker _____

Signature: _____ *Darryl Walker* _____

Date of Deposit: _____ January 28, 2004 _____